### Clinical Conclusions from the CORE Studies

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> Tallinn, Estonia May 25, 2018

### Charles H. Kellner, MD Disclosures

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Psychiatric Times (honoraria for writing ECT sections)

### Outline



II. CORE Studies I & II

#### III. PRIDE Phase I and Phase II Data

**IV.** Conclusions

#### On the significance of elektroconvulsive therapy in the treatment of severe mental diseases

Michael Grözinger · Elke Stefanie Smith · Andreas Conca

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#### Summary

Background Quite a few patients with severe mental diseases do not respond sufficiently to psychopharmacology as a last resort but in an evidence-based way. Patients should be informed timely and adequately about the therapeutic option.

#### "Despite positive scientific evidence, the therapy is often approached with reserve that cannot be explained rationally."

aspects.

*Results* Due to its excellent efficacy, ECT is an important option in the treatment of severe mental disease. Technological innovations and continued development in the psychiatric environment determined the evolution from the electroshock of the 1930s to the ECT of today. This process led to reduced side effects and a stronger patient-oriented praxis.

Conclusions ECT is a modern, highly effective and safe treatment of severe mental diseases with compara-

a perior efficacy, ECT has remained an important treatment option for patients with severe psychiatric disorders. It can be easily combined with other treatment methods and should be applied within the frame of an overall treatment plin, which considere psychepheremeeologies, psychotherapeutic, socio-psychiatric, trialogical as well as juridical aspects. Despite positive scientific evidence, the therapy is often approached with reserve that cannot be explained rationally. With this article, we aim at providing a compact and practically oriented overview of ECT

## FDA "Cleared Indications for Use" ECT Devices

- 1. Depression (unipolar and bipolar)
- 2. Schizophrenia
- 3. Bipolar manic (and mixed) states
- 4. Schizoaffective disorder
- 5. Schizophreniform disorder
- 6. Catatonia

## **ECT's Shortcomings**

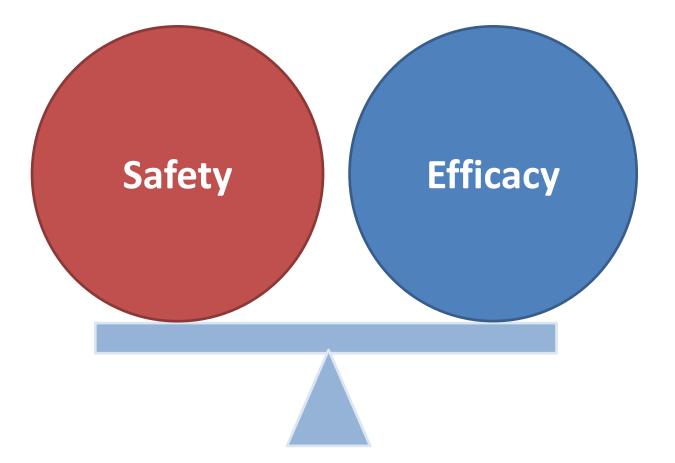
- Medical risks (safety)
  - risk of general anesthesia (death in 1/10,000)
- Cognitive effects (tolerability)
  - retrograde amnesia
- Does not prevent future episodes (unless use maintenance ECT)
- Post-ECT relapse rates higher in the modern era

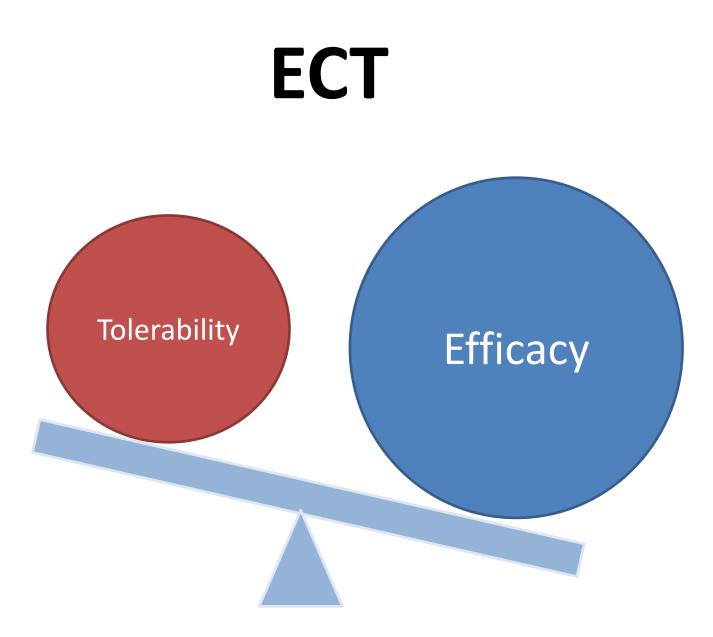
### Safety/Tolerability

• Safety = Risk of physical injury or death

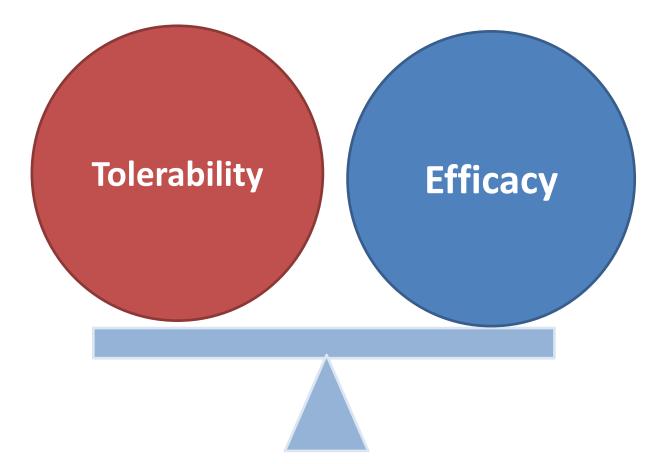
• Tolerability = Side effect burden

## ECT





# ECT (Optimized)



### C.O.R.E.

# Consortium for Research in ECT (1997-2017)

Founding Guidance: Max Fink, Harold Sackeim

Statistical support: Rebecca Knapp, Martina Mueller



#### Acknowledgements



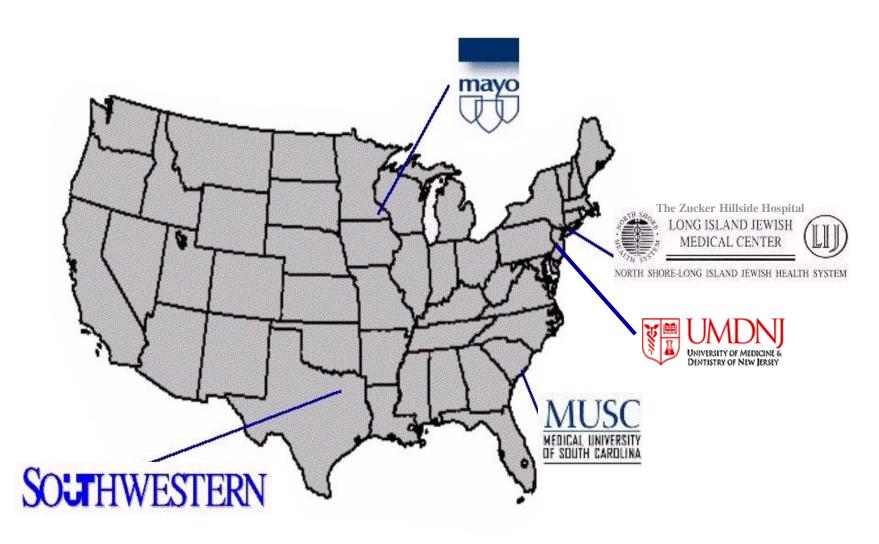
**Mount Sinai PRIDE Team** Bob Greenberg, MD Amy Aloysi, MD Dennis Popeo, MD Matt Majeske, MD Ethan Bryson, MD **Kristen Tobias** Data Center (MUSC) Mimi Briggs Martina Mueller, PhD Rosa Pasculli Abeba Teklehaimanot Roya Nazarian Andre Thornhill Lauren Liebman Emma Geduldig Gabriella Ahle Elizabeth Muller, RN Lorna Green, RN and the MSH nursing team Jaclyn Bencivenga

#### **CORE/PRIDE** Group

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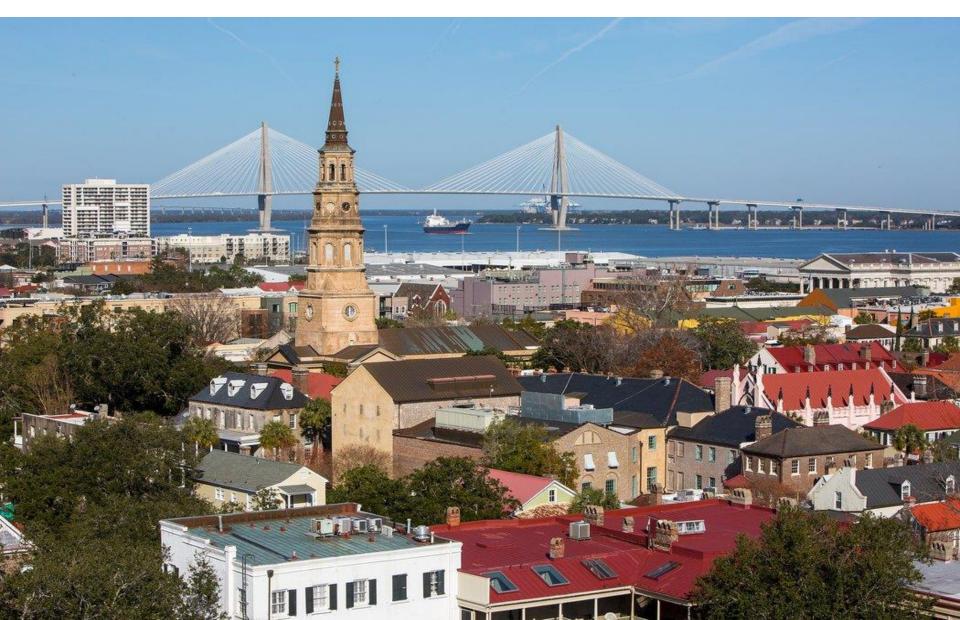


### **CORE Studies I-II**





### Charleston, SC



### **MUSC Institute of Psychiatry**



### NIH, Bethesda, Maryland



#### CORE I: **Continuation ECT vs Pharmacotherapy PHASE II PHASE I** Nortriptyline + Li Randomize Bilateral ECT 3x week **Remitters Continuation ECT Unipolar MDD** Baseline HAM- $D_{24} \ge 21$

6 months

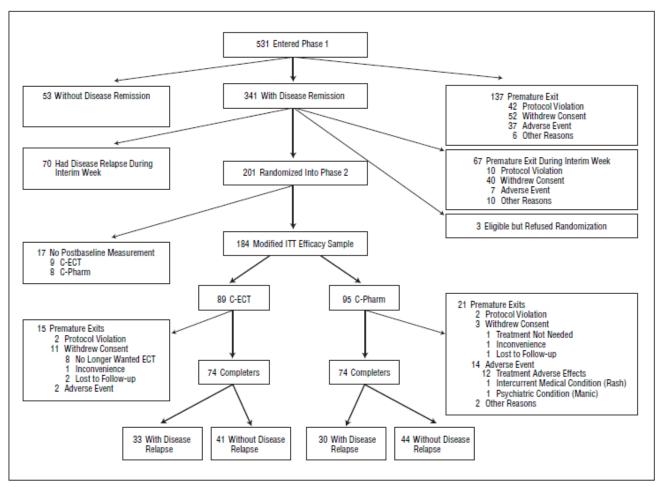
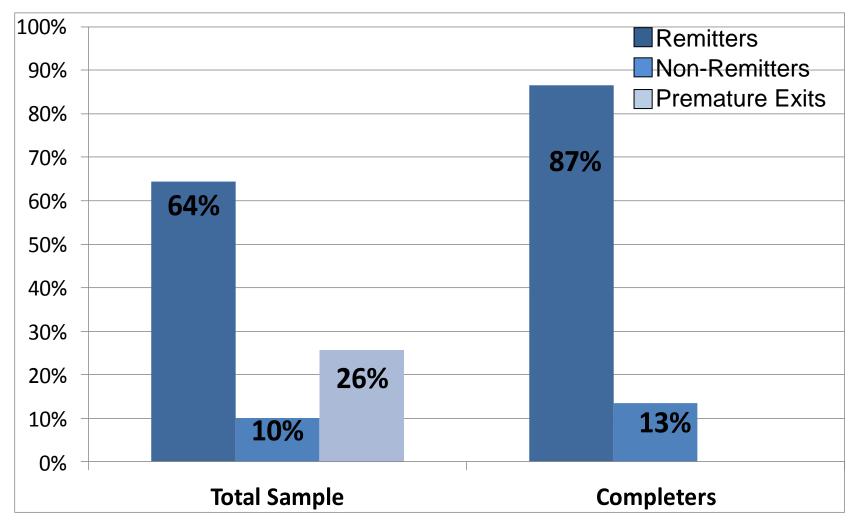
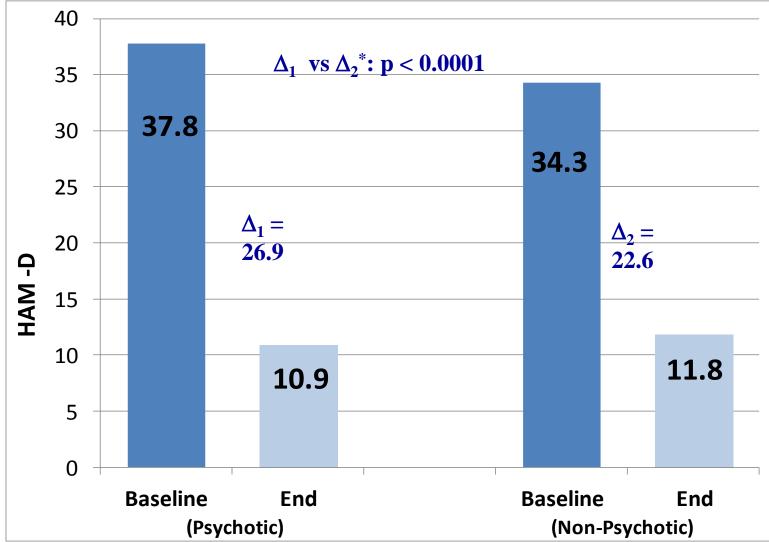


Figure 1. Participant flow for acute electroconvulsive therapy (ECT) phase (phase 1) and randomized continuation phase (phase 2). C-ECT indicates continuation ECT; C-Pharm, combination of lithium carbonate plus nortriptyline hydrochloride.

#### Remitter Status for Patients Entering Phase I and for Patients Completing Phase I (N=530)

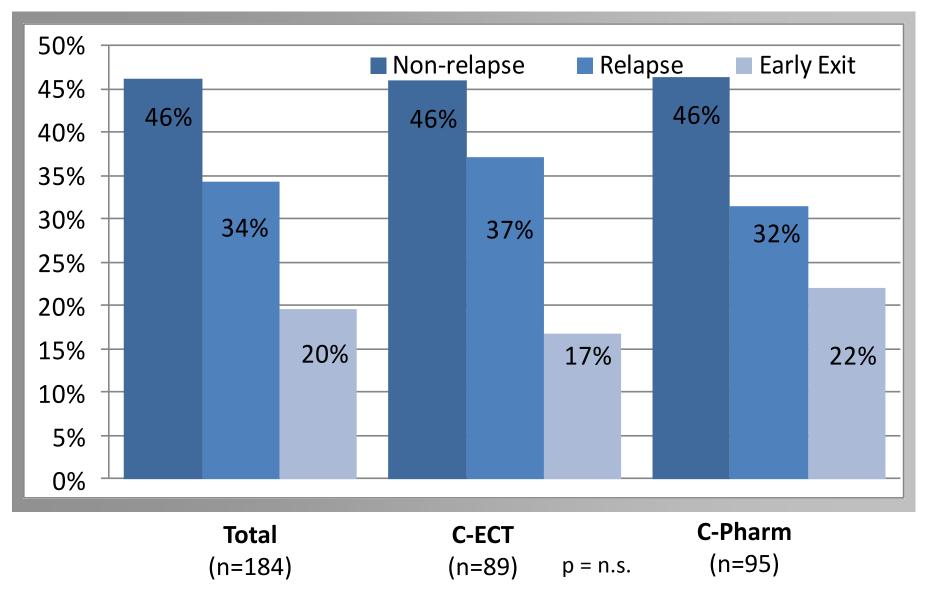


#### Depression Ratings by Psychosis Status Phase I (n = 530)



\*: pooled t-test

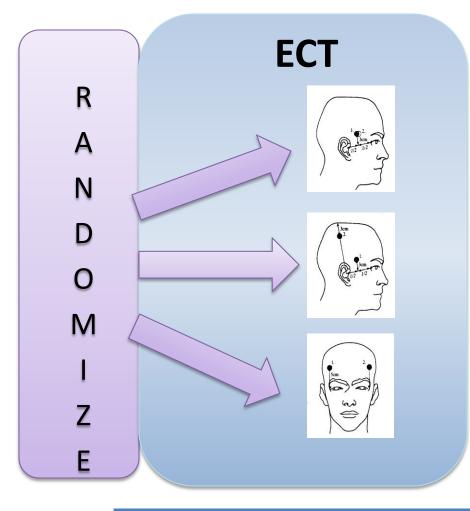
#### **CORE I: Relapse Status at 6 Months**



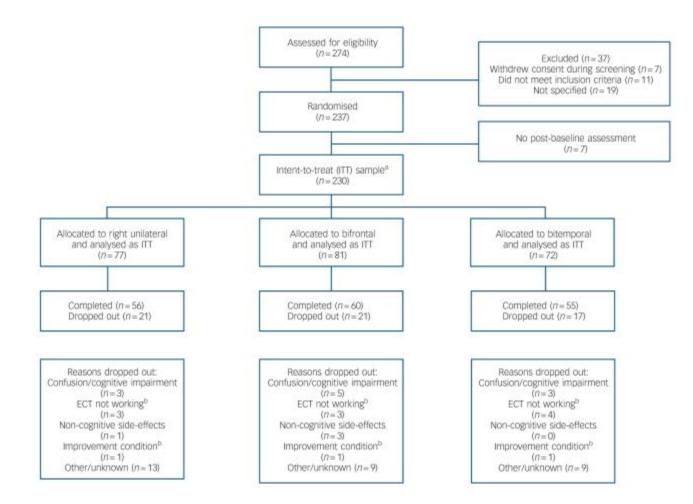
### **Conclusions from the First Core Study**

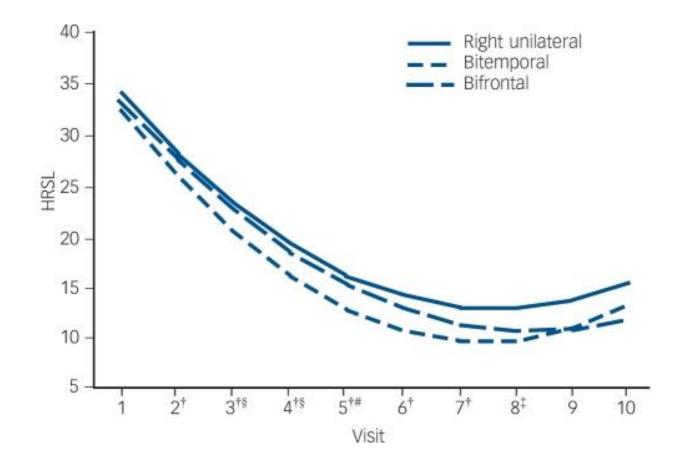
- Standard Bilateral ECT confirmed as an excellent antidepressant. (Phase I)
- Replication of superior response of psychotic depression. (Phase I)
- Fixed schedule of monomodality C-ECT as protective as drug combination. (Phase II)

### **CORE II: Three Electrode Placement**

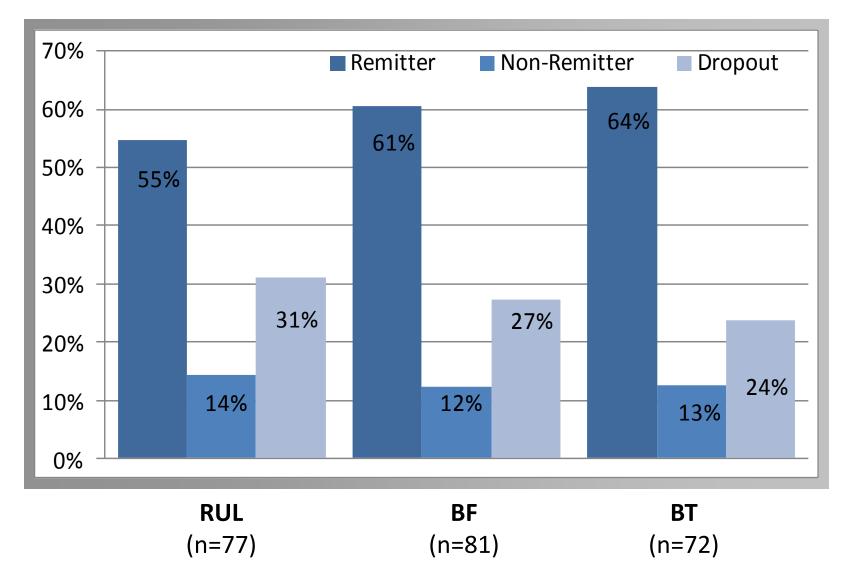


- Unipolar **or** Bipolar Major Depression
- Baseline HAM-D<sub>24</sub> $\geq$  21
- 3x/week



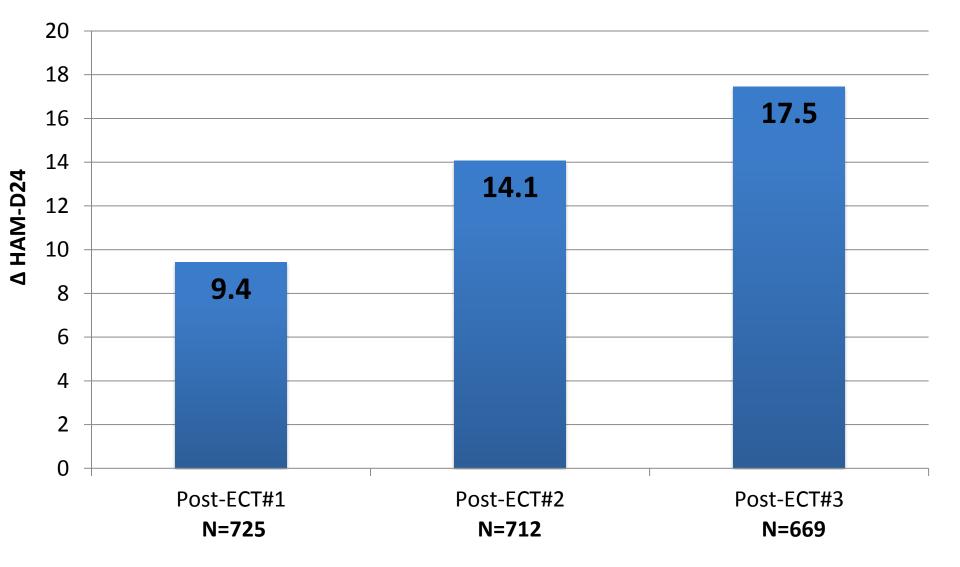


### **CORE II: Remission Outcome by EP**



Kellner et al., Br J Psychiatry. 2010 Mar;196(3):226-34.

#### CORE I, II Pooled: Decrease in HAM-D<sub>24</sub> after first 3 ECT



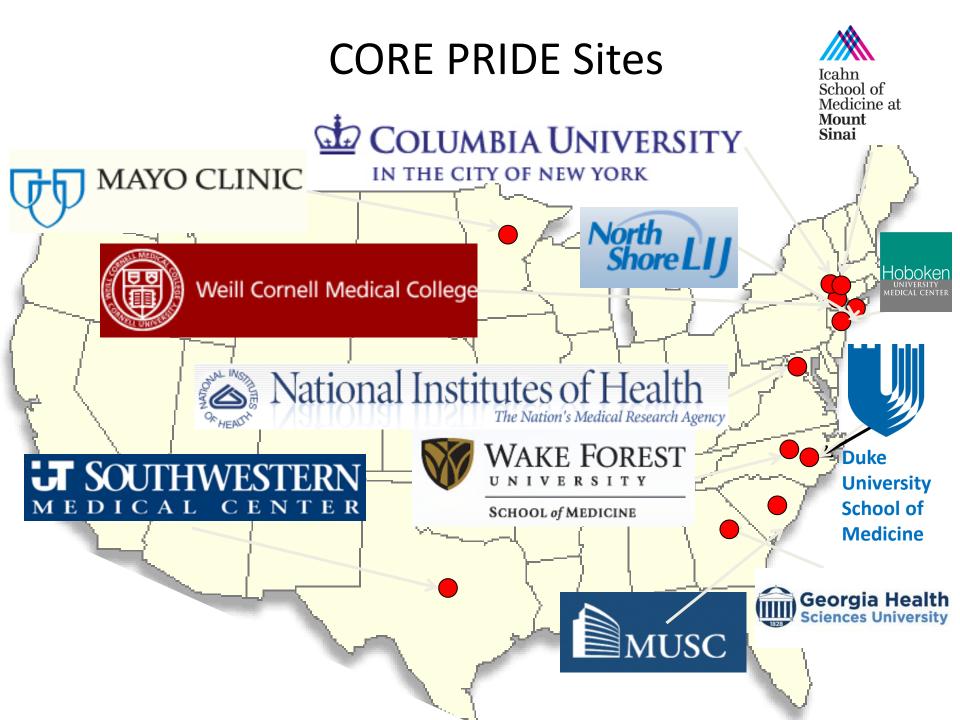
CORE data: combined C-ECT-3EP data set, unpublished

### **Conclusions from the Second Core Study**

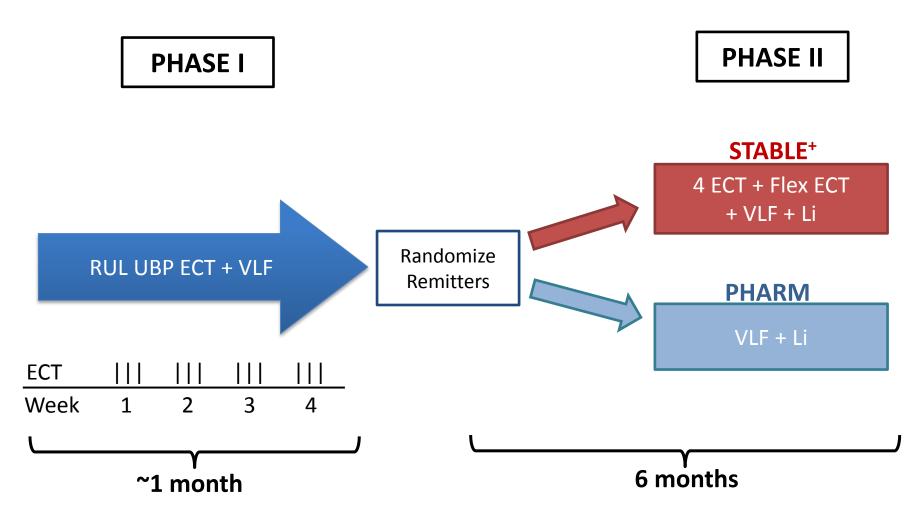
• RUL, BF and BT electrode placements all effective antidepressant techniques.

• BT has faster antidepressant effect.

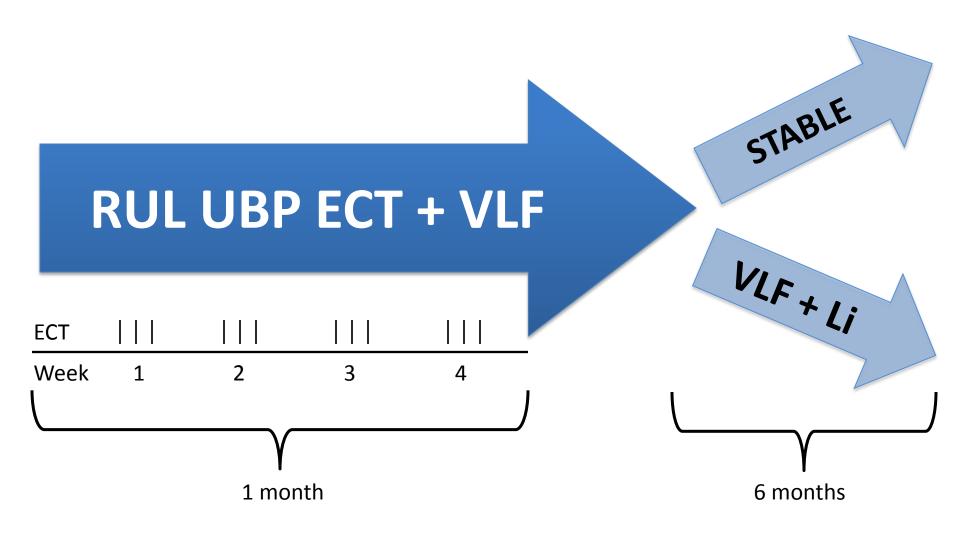
• Be careful not to confuse group data with individual patient experience (a substantial minority of patients will need switch to BL electrode placement).



### Prolonging Remission in Depressed Elderly (PRIDE)



### **PRIDE Phase I**



### **PRIDE Selection Criteria**

#### Inclusion

- ≥60 yr, MDE, Unipolar (MINI)
- Baseline HRSD≥21 (24-item)
- ECT clinically indicated, competent to give consent

#### Exclusion

- bipolar disorder, schizophrenia, schizoaffective disorder, mental retardation
- delirium, dementia, or substance abuse/dependence in past 6 months
- general medical condition or CNS disease that may affect cognition or response to treatment.
- medical condition contraindicating Li or VLF
- Failure to respond to adequate trial of Li + VLF, or ECT, in the current episode, or history of intolerance to Li or VLF.

### **PRIDE Medication Procedures**

- Washout
  - 1 week pre Phase 1 ECT
- Venlafaxine
  - started 1-5 days prior to ECT at 37.5 mg, increase by 37.5 mg q3D in AM to target 225 mg
- Rescue Meds
  - Iorazepam up to 3 mg qD

### **PRIDE ECT Procedures**

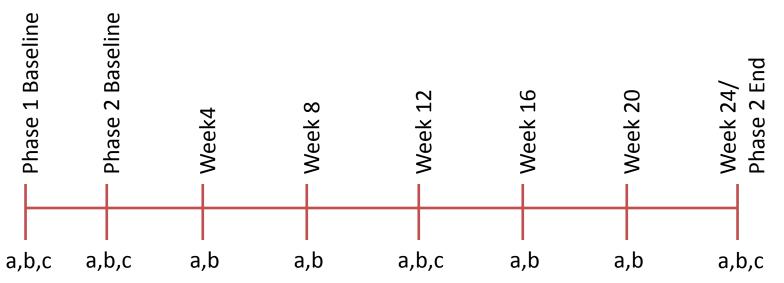


- Dose Titration (5, 10, 15, 20 %)
- 6x Seizure Threshold RUL (0.25 ms) ECT 3/wk
- Anesthesia
  - Glycopyrrolate (0.2 mg IV) (first procedure only)
  - Methohexital (0.75 mg/kg)
  - Succinylcholine (0.75 mg/kg)
- Adequate seizure ≥15s motor
- Midcourse dose increase if response plateaus

### **RUL Electrode Placement**

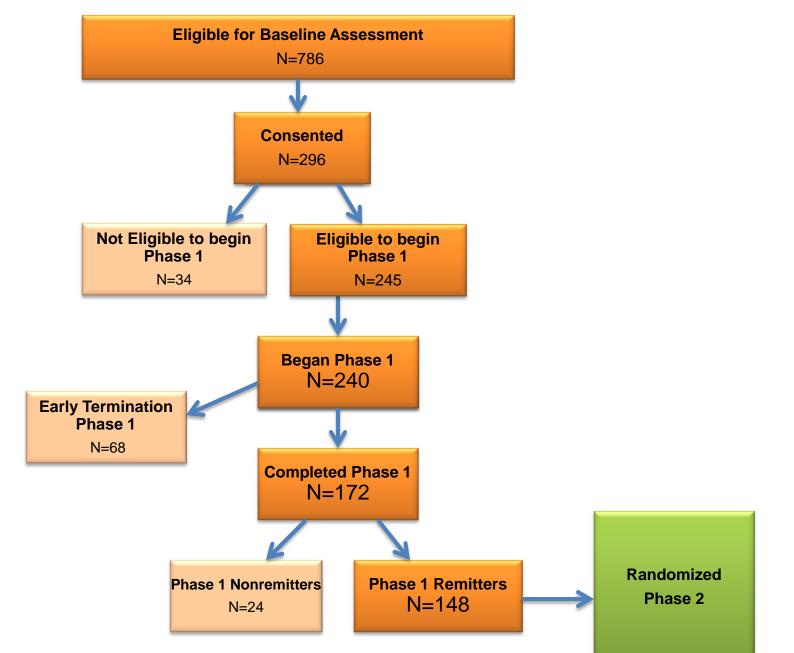


### **Neuropsychological Testing**



Assessment "a":	Assessment "b":	Assessment "c":
Orientation/Global Status	Memory	Executive Function
<ul> <li>Mini-Mental State</li> <li>Examination (MMSE)</li> </ul>	<ul> <li>California Verbal Learning Test (CVLT-II)</li> <li>Autobiographical memory interview-Short Form (AMI- SF)</li> </ul>	<ul> <li>Trail Making Test A/B</li> <li>Stroop</li> <li>DRS-IP</li> <li>D-KEFS Verbal Fluency Test</li> </ul>

#### **PRIDE Phase I Consort Chart**



# PRIDE Phase I Baseline Data (n = 240)

• Age (mean): 69.9

• HAM-D<sub>24</sub> (mean): **31.2** 

• Psychosis: **11.7%** 

#### **Seizure Threshold Data**

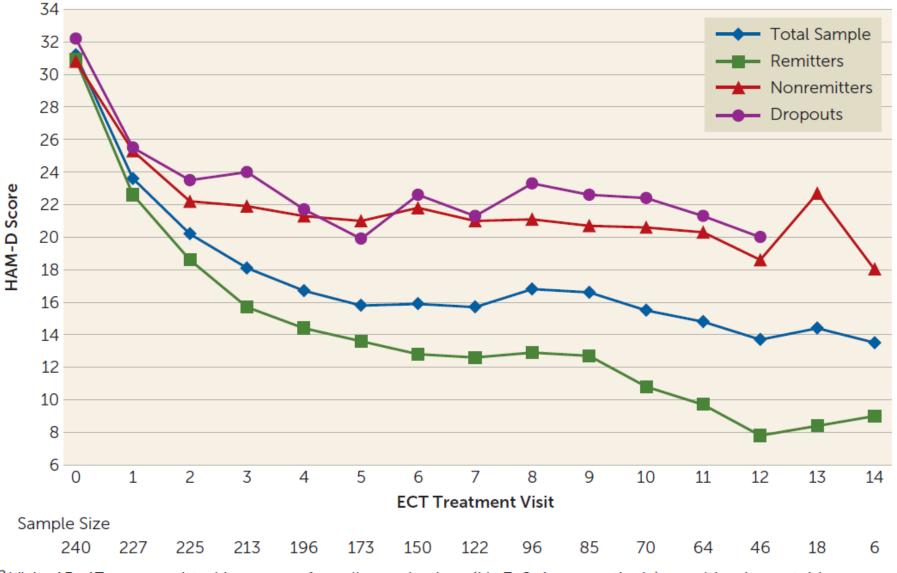
#### **Baseline Seizure Threshold (mC), n=238**

	Mean	Range
Total	30.5	19.0 - 150.0

#### **Total number of Stimuli at Phase I Baseline**

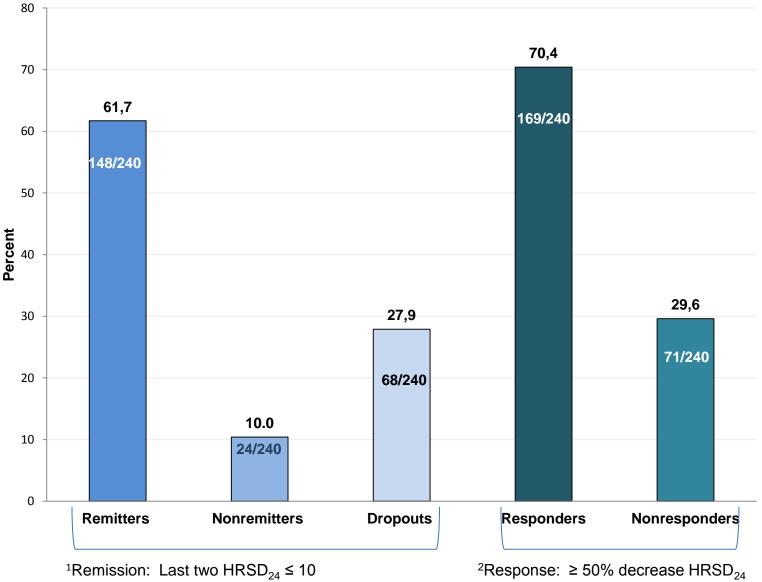
Number stimuli	Frequency	Percent	Cumulative Frequency	Cumulative Percent
1	201	83.75	201	83.75
2	34	14.17	235	97.92
3	2	0.83	237	98.75
4	3	1.25	240	100.00

FIGURE 2. Trajectory of Observed Mean Scores on the 24-Item Hamilton Depression Rating Scale (HAM-D), by Outcome Group, in a Study of ECT and Venlafaxine in Geriatric Depression<sup>a</sup>



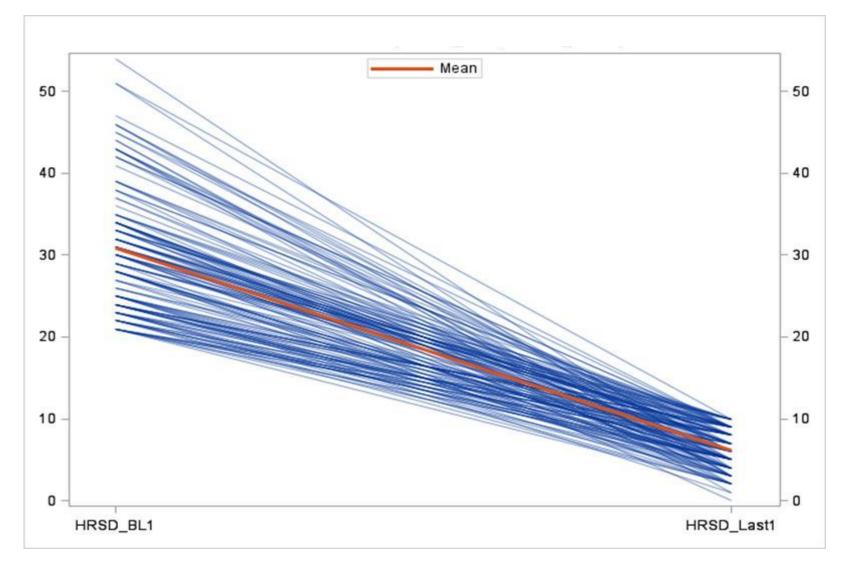
<sup>a</sup> Visits 15–17 were omitted because of small sample sizes (N=3, 2, 1, respectively), resulting in unstable means.

#### PRIDE Phase I Remission<sup>1</sup> and Response Proportions<sup>2</sup>

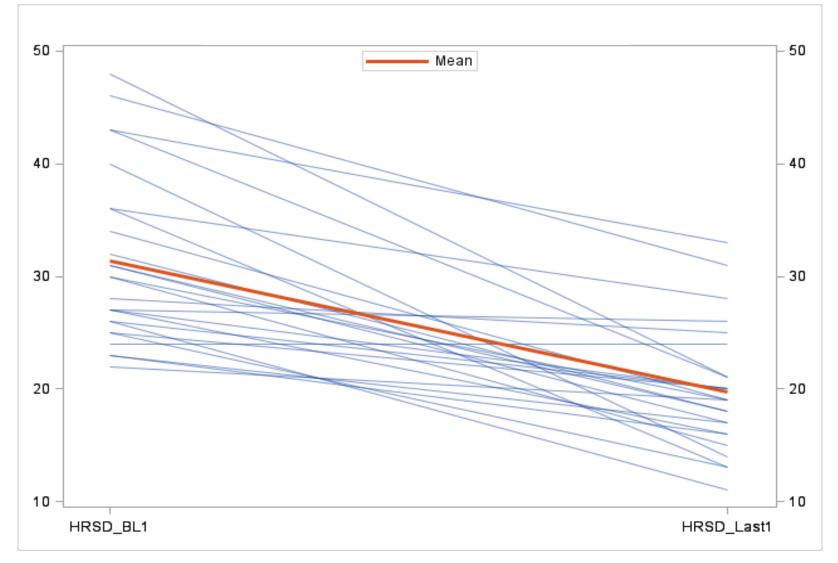


(Baseline - Last)

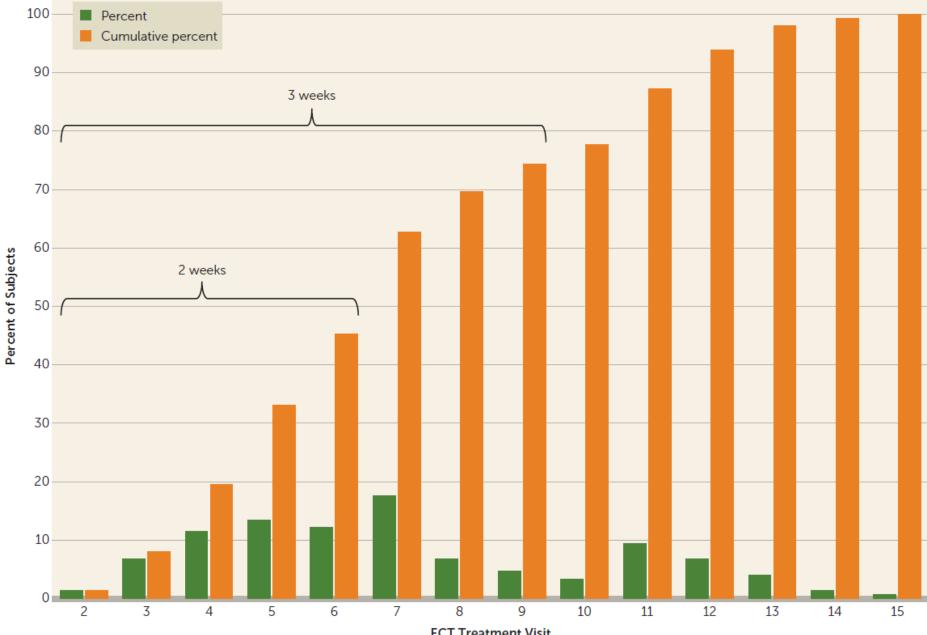
#### PRIDE Phase I: Individual Patient HRSD Trajectories for Remitters (n=148)



#### PRIDE Phase I: Individual Patient HRSD Trajectories for Non-Remitters (n=24)



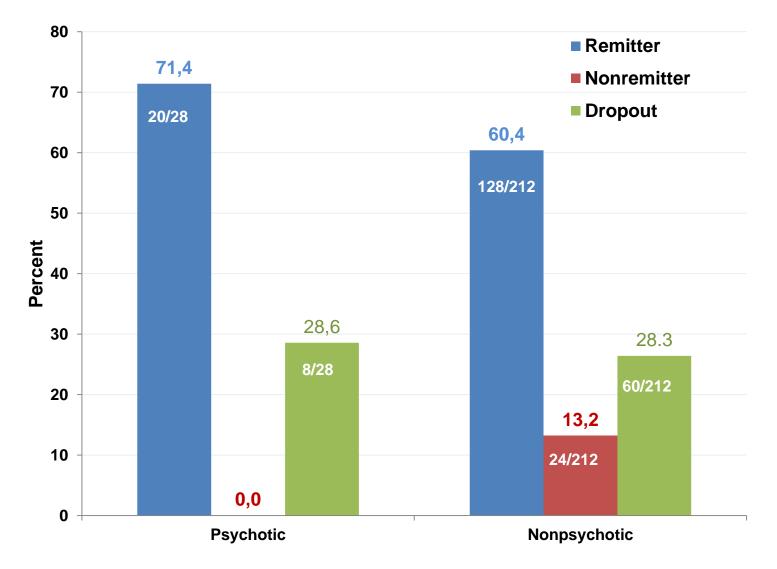
February 10, 2015 data



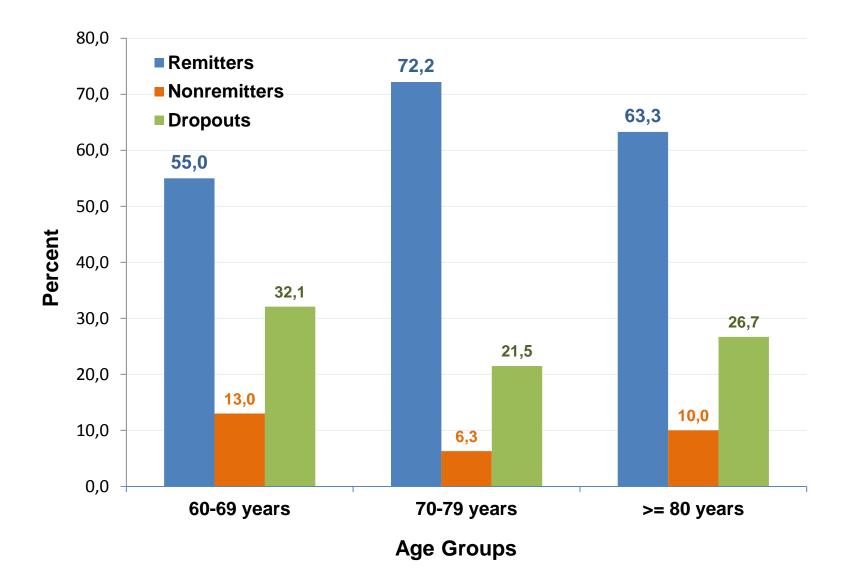
#### FIGURE 3. Speed of Remission Among Remitted Patients (N=148) in a Study of ECT and Venlafaxine in Geriatric Depression

**ECT Treatment Visit** 

# PRIDE Phase I Outcome by Psychosis Status (n=240)



#### PRIDE Phase 1 Outcome by Age Category (n=240)

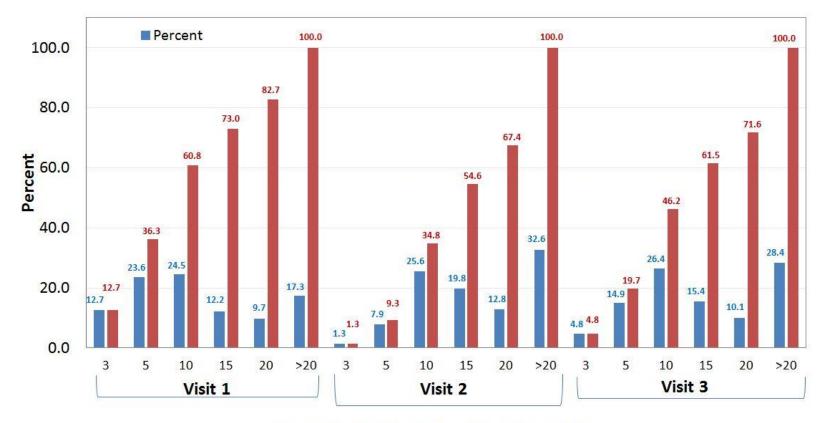


### Number of ECT by Phase I Outcome

Outcome	Mean (sd, n)
Remitters	7.3 (3.1, 148)
Nonremitters	12.3 (1.1, 24)
Dropouts	5.0 (3.3,68)

### **Time to Reorientation**

Figure S1: Phase1 (Visits 1-3) Frequency Distribution of Reorientation Time after Treatment



Visits 1-3 Reorientation Time (minutes)

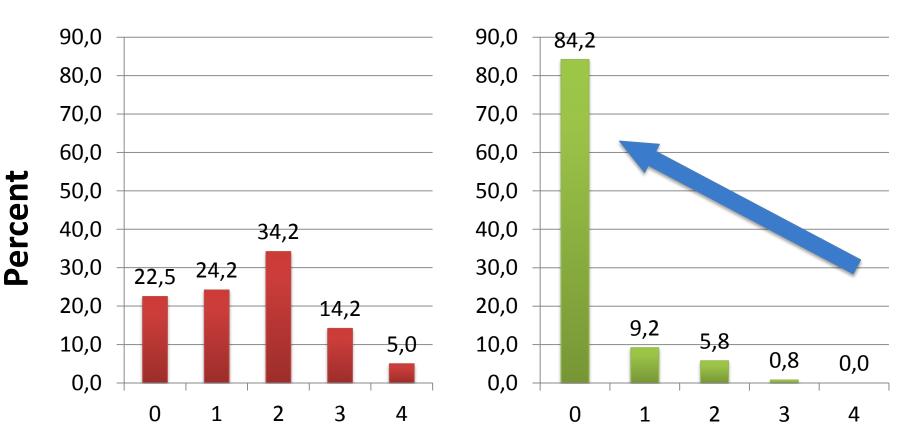
### MMSE

- Baseline mean: **27.5** (sd=2.4, n=239)
- Post ECT mean: 27.6 (sd=2.6, n=238)
   p<0.562, paired t-test</li>

### **HRSD Suicidal Rating Data**

Baseline

**Post-ECT** 



**HRSD Suicidality Item 3 Score** 

### **Conclusions from PRIDE Phase I**

- RUL-UBP ECT is a viable treatment technique for geriatric depression
- RUL-UBP is rapidly acting (including on suicidality)

• RUL-UBP is generally well-tolerated

#### **PRIDE Phase II STABLE+** flex ECT ECT + VLF + LI Randomize Phase I Remitters **PHARM** VLF + Li Month 1 2 3 6 4 5

### Symptom-Titrated Algorithm-Based Longitudinal ECT

STABLE

# **STABLE Algorithm**

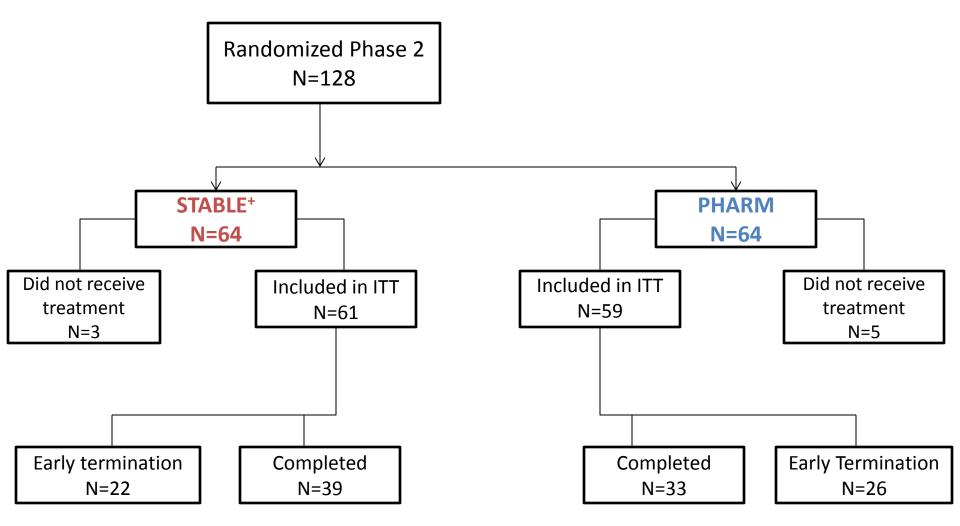
**Phase 2: Weeks 1-4:** Fixed ECT Schedule: 1 ECT 2-5 days after randomization, 1 ECT 7-12 days after randomization, 1 ECT 14-19 days after randomization, 1 ECT 23-28 after randomization (Total = 4 ECT in one month

#### Phase 2: Weeks 5-24: Symptom Titrated Schedule

Number ECT per week	Description	Corresponding HAM-D Condition	Relapse potential
	Current symptomology level very low, or	HAM- $D_C \leq 6$ , or	Low
0 with only small drift from baseline level, or Last 2 HAM-D in remitted range with flat	Current symptomology level low to moderate, with only small drift from baseline level, or	$7 \leq$ HAM-D <sub>C</sub> $\leq$ 12 and HAM-D <sub>C</sub> -HAM-D <sub>B</sub> $\leq$ 2, or	Low
	Last 2 HAM-D in remitted range with flat trajectory (remission stable with less than 2 point change from previous)	7≤HAM-D <sub>C</sub> ≤10 and 5≤HAM-D <sub>P</sub> ≤10 and (HAM-D <sub>C</sub> -HAM-D <sub>P</sub> ) ≤ 2	Low
		1	20
	Current symptomology level very high, or	HAM- $D_{c} \ge 16$ , or	High
2 Current symptomology level moderate to high with trajectory increasing rapidly and large drift from baseline	$\begin{array}{l} 11{\leq}HAM\text{-}D_{C}{\leq}15,and~(HAM\text{-}D_{C}\text{-}HAM\text{-}D_{P}){\geq}3,and\\ (HRSD_{C}\text{-}HRSD_{B}){\geq}8 \end{array}$	High	
1	Patients not requiring 0 or 2 received 1 ECT	HAM-D <sub>C</sub> intermediate between criteria for "low" or "high" relapse potential	Moderate
Discontinue study	HAM-D <sub>C</sub> and HAM-D <sub>P</sub> $\geq$ 21, or patient suicidal, or	patient requires psychiatric hospitalization	

<sup>a</sup>HAM-D<sub>B</sub>= baseline HAM-D; HAM-D<sub>c</sub>=current visit HAM-D; HAM-D<sub>P</sub>= previous visit HAM-D (visit preceding current visit)

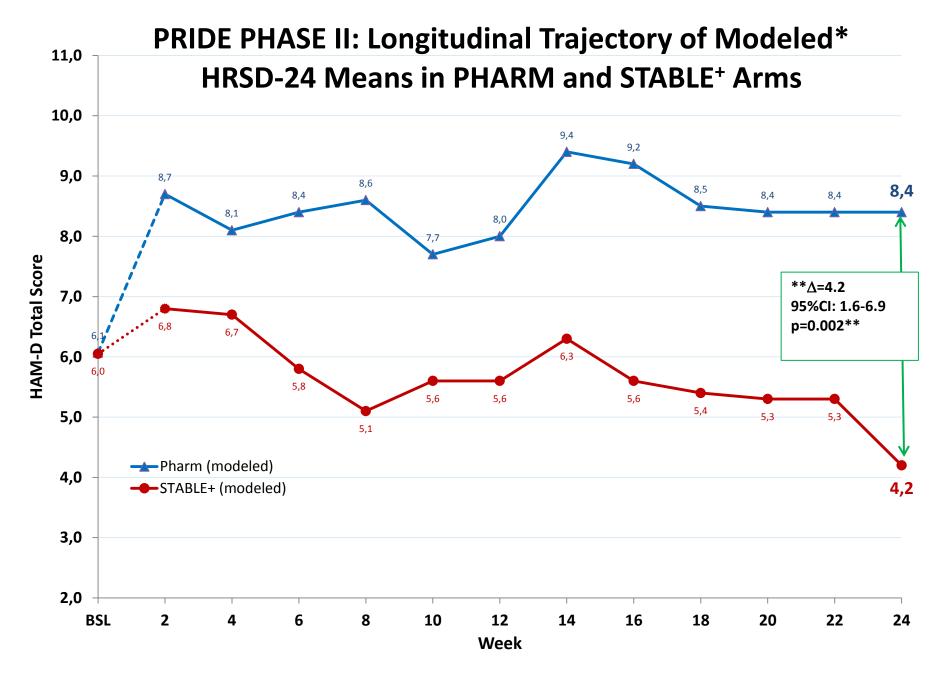
# **PRIDE Phase II Consort Chart**



# Li and VLF in Phase II

• VLF dose (mean): 192 mg (no difference between arms)

- Li level (mean): 0.53 mEq/l (PHARM)
- Li Level (mean): 0.36 mEq/l (STABLE<sup>+</sup>)

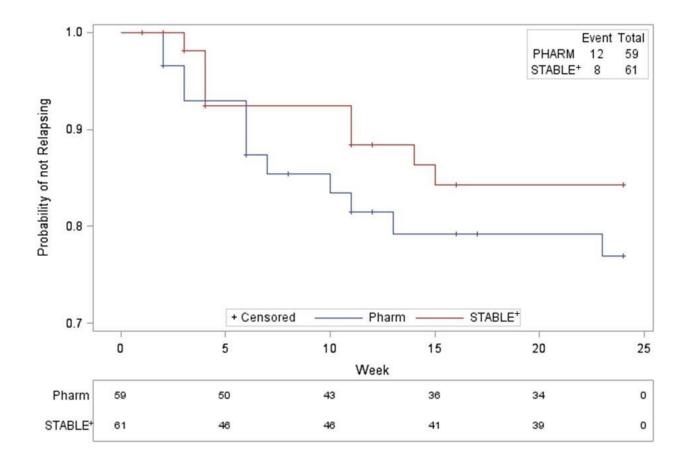


\*Model contains treatment, time, treatment-by-time with HRSD baseline, site, psychosis as adjustment covariables \*\*  $\Delta$ =4.2 is difference in baseline, site, psychosis adjusted least squares means for STABLE+ vs PHARM

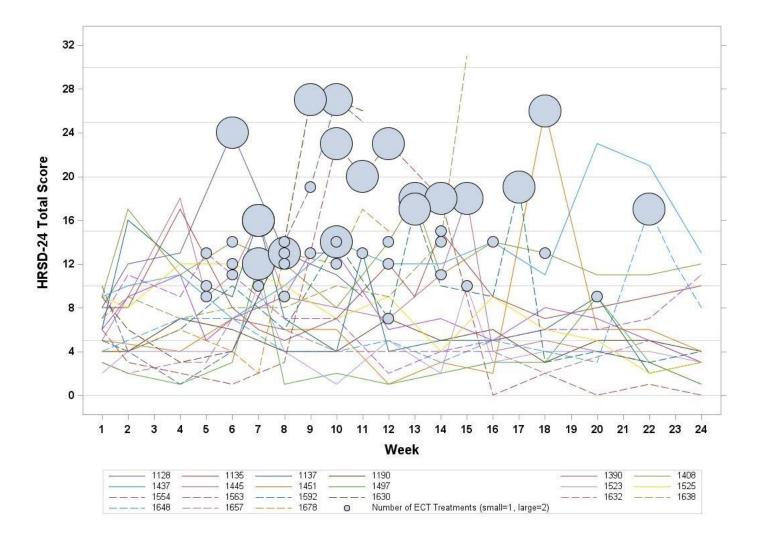
# PRIDE Phase II Results

- At 6 month study endpoint, mean HRSD-24 score for STABLE<sup>+</sup> = 4.2 vs PHARM = 8.4 (p=0.002)
- CGI-S: odds of being rated "not at all ill" were
   5.2 times greater for STABLE<sup>+</sup> vs PHARM
- Odds of relapsing 1.7 times higher for PHARM vs STABLE<sup>+</sup>
- 34.4% (21/61) of STABLE<sup>+</sup> patients received at least one additional ECT in weeks 5-24

#### PRIDE PHASE II: Time to relapse for patients in STABLE<sup>+</sup> and PHARM treatment arms



#### PRIDE PHASE II: The effect of additional ECT



# Relapse\* by Treatment Group

- Overall Relapse Rate: 16.7%
- PHARM Relapse Rate: 20.3%
- STABLE<sup>+</sup> Relapse Rate: 13.1%

\*Relapse defined as when a patient was removed from the study for safety because of worsening of MDD requiring alternative treatment (2 consecutive HRSD<sub>24</sub> ≥ 21, or patient required psychiatric hospitalization, or patient became suicidal).

### PRIDE Quality of Life Data (Methods)

• Medical Outcomes Study Short Form 36 (SF36)

• Phase I: 240 patients, pre- and post ECT

• Phase II: 120 remitters, measured q 4 weeks

(McCall WV et al. J Affect Disord 2017;209:39-45, J Psychiatr Res 2018; 97:65-69)

# PRIDE Quality of Life Data (Results)

- Phase I: Remitters showed significant improvement in every dimension of QOL
- Phase II: STABLE group had significantly higher QOL scores at week 24
- Changes in QOL with ECT best explained by mood improvement; cognitive variables play only minor role

(McCall WV et al. J Affect Disord 2017;209:39-45, J Psychiatr Res 2018; 97:65-69)

### **Conclusions from PRIDE PHASE II**

- STABLE<sup>+</sup> was superior to PHARM in maintaining low depression symptom severity for 6 months after remission.
- RUL UBP was safe and well tolerated.
- Practitioners should be liberal in prescribing additional ECT past the acute course (taper, continuation/maintenance).
- Aim is to prevent full syndromic relapse and its attendant catastrophic consequences.

#### The PRIDE Study and the Conduct of Electroconvulsive Therapy Questions Answered and Unanswered

Keith G. Rasmussen, MD

**Abstract:** The recently published PRIDE study (prolonging remission in the depressed elderly) constitutes an important contribution to electroconvulsive therapy (ECT) technique, from the standpoint of both the index course to treat depressive symptoms and the post-remission continuation period to prevent relapse. This study was probably the last large, National Institute of Mental Health-funded, multisite ECT technical study for some time to come, so extracting clinically relevant recommendations is worthwhile. In this commentary, the author discusses evidence from this trial relevant to several important clinical index and continuation ECT technical issues and elaborates several unanswered questions deserving further consideration.

Key Words: electroconvulsive therapy, depression

(JECT 2017;33: 225-228)

# Rasmussen: PRIDE Q and A

- The PRIDE Study and Index ECT: Right Unilateral Ultrabrief Comes of Age
- Is Right Unilateral Ultrabrief to Be Recommended for all Depressed ECT Patients?
- What is the Best Electrical Dosing Method for unilateral Ultrabrief Pulse Width?

# Rasmussen: PRIDE Q and A

- At What Point in a Course of Treatment with Right Unilateral Ultrabrief Should a Switch Be Undertaken to a More Intensive Treatment Method?
- What Should Be the Next-Step Technique for Patients Who Do Not Respond to Unilateral Ultrabrief?
- The PRIDE Study and Continuation ECT: To Use STABLE or Not to Use STABLE?

# Rasmussen: PRIDE Q and A

- Should Continuation ECT Be Offered to All Depressed Patients Who Have Remitted With Index ECT?
- Should STABLE Be Considered the Standard of Care for Continuation ECT?
- How and When Should Lithium Be Intermixed With ECT?
- Do Responders, as well as Remitters, Benefit From Adding Continuation ECT to Pharmacotherapy?

#### Thomas F. Eagleton, 77, a Running Mate for 18 Days, Dies

#### By ADAM CLYMER

Thomas F. Eagleton, a former United States senator whose legislative accomplishments were overshadowed by his removal as the Democratic vice presidential candidate in 1972 after revelations of mental illness and electroshock therapy, died yesterday in Richmond Heights, Mo. He was 77 and lived outside St. Louis in Clayton, Mo.



Thomas F. Eagleton, left, and George McGovern in Miami Beach.

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#### RECENT HIGHLIGHTS OF DR. BREGGIN'S WORK:

\$1.5 million jury award in child tardive dyskinesia (TD) 2014
\$700,000 settlement in tardive dyskinesia (TD) case in Mass. 2014
\$1.5 million jury award for suicide with antidepressant Paxil 2012
Free video series: "Simple Truths About Psychiatry" by Dr. Breggin
Upcoming: Our Best Empathic Therapy Conference-April 17-19, 2015 in Michigan.

	Print   E-mail	search
HOME	The dangers of electroconvulsive therapy	
RESUME	The dangers of electroconversive therapy	and the second second
LEGAL CASES		guilt shame
BRIEF BIO	See Dr. Breggin's new	anxiety
ACCOMPLISHMENTS	ECT Resources Center	Carlos and
OUR ORGANIZATION	with more than 125 annotated scientific articles, glossary of searchable terms and a	sedentanding and exercising AREANINE ENOTIONS
OUR CONFERENCES		PETER & BAEGGIN, MD
FOR THERAPISTS		Guilt, Shame And Anxiety (New)
HuffingtonPost Blog		
NaturalNews Blog	ECT (electroconvulsive treatment) damages the brain and mind. In many cases, it results in huge permanent gaps	
Homepage Blogs	in memory for important life events, educational background, and professional skills. The individual may even lose his or her identity. Even when much less harm is done, individuals continue to suffer from ongoing cognitive difficulties	
LIVE TALK RADIO	with learning and remembering new things, and with unwanted changes in their personalities. Dr. Breggin has now	
Simple Truths Videos	created a free ECT Resources Center that includes (1) a brochure for patients, families, and advocates, (2)	Psychiatric Drug Withdrawal
TV/VIDEO ARCHIVES	introductory scientific articles that cover the field of ECT-induced harm to the brain and mind, and (3) more than 125	A Dates for Proceedings, Transmiss Address of Proceedings, Transmiss Address of Proceedings
BOOKS	articles about ECT with search terms such as "brain damage," "memory loss," "women," and "abuse." The ECT Resources Center will help introduce newcomers to the field and provide research materials for advanced	
FREE NEWSLETTER	researchers as well.	Psychiatric Drug
SCIENTIFIC PAPERS		Withdrawal
DRUG HAZARDS	The most detailed recent publication about the harm associated with ECT is found in a chapter in Dr. Breggin's book,	

#### Conclusions

- ECT is increasingly a vital treatment for our most severely ill patients.
- Technical advances allow greatly improved tolerability.

- New research likely to lead to understanding of how ECT works, help elucidate etiology of psychiatric illness.
- Stigma remains the biggest impediment to the appropriate prescription of ECT.

